

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,291	07/19/2001	Tetsuya Okumura	48449/70904

CONFIRMATION NO. 1829

FORMALITIES LETTER



OC000000006557796

Dike, Bronstein, Roberts & Cushman
IP Group of EDWARDS & ANGELL LLP
P.O. Box 9169
Boston, MA 02219

Date Mailed: 09/14/2001



NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Practitioner's Docket No. _____

48449/70904- DIV

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tetsuya Okumura et al.
Application No: 09/909,291 Group No.: 2651
Filed: July 19, 2001 Examiner: Not yet assigned
For: OPTICAL REPRODUCING DEVICE AND OPTICAL MEMORY MEDIUM

Assistant Commissioner for Patents
Washington, D. C. 20231

TRANSMITTAL OF FORMAL DRAWING(S)
PRIOR TO NOTICE OF ALLOWANCE

Attached please find the formal drawings for this application.

Figures 1-34.

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))

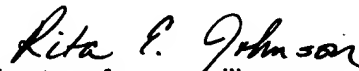
(Transmittal of Formal Drawings--page 1 of 2)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

Rita E. Johnson

(type or print name of person mailing paper)

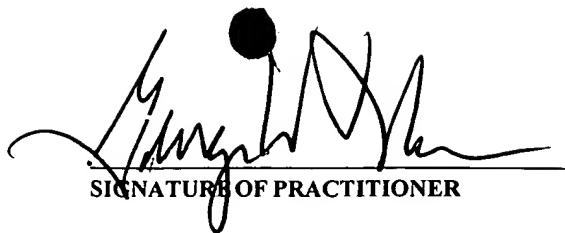

Signature of person mailing paper

WARNING: "Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under sections 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437" 37 C.F.R. section 1.6(d)(4).

(Transmittal of Formal Drawings--page 1 of 2)

Date: _____

10/15/01



SIGNATURE OF PRACTITIONER

Reg. No. 26,964

George W. Neuner

(type or print name of practitioner)

Tel. No.: (617) 439-4444

Dike, Bronstein, Roberts & Cushman,
IP Group of Edwards & Angell, LLP
P.O. Address: BOX 9169
Boston, MA 02209

Customer No.: 00271

NOTE: "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawings a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page. In addition, a reference to the application number, or, if an application number has not been assigned, the inventor's name, may be included in the left-hand corner, provided that the reference appears within 1.5 cm. (9/16 inch) from the top of the sheet." 37 C.F.R. 1.84(c).